

**General Index of Tariff Showing Electric Rate Schedules and Rules
And Regulations, As Filed With The Public Service Commission of Kentucky**

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[Signature]
 Michael S. Beer, Vice President
 Lexington, Kentucky

T

ELECTRIC RATE SCHEDULE

Rate SQF

Cogeneration and Small Power Producer

AVAILABILITY

In all territory served by Company.

APPLICABLE

This Rate and the terms and conditions set out herein are available for and applicable to Company's purchases of energy only from the owner of qualifying cogeneration or small power production facilities of 100 KW or less (such owner being hereafter called "Seller") installed on Seller's property to provide all or part of its requirements of electrical energy, or from which facilities Seller may elect to sell to Company all or part of such output of electrical energy.

Company will permit Seller's generating facilities to operate in parallel with Company's system under conditions set out below under PARALLEL OPERATION.

Company will purchase such energy from Seller at the Rate, A or B, set out below and selected as hereafter provided, and under the terms and conditions stated herein. Company reserves the right to change the said Rates, upon proper filing with and acceptance by the jurisdictional Commission.

RATE A: Time-Differentiated Rate

- 1. For summer billing months of June, July, August and September, during the hours 9:01 A.M. thru 10:00 P.M. weekdays exclusive of holidays (on-peak hours), 2.280 cents per KWH.
- 2. For winter billing months of December, January and February, during the hours 7:01 A.M. thru 10:00 P.M. weekdays exclusive of holidays (on-peak hours), 1.139 cents per KWH.
- 3. During all other hours (off-peak hours), 1.098 cents per KWH.

Determination of On-Peak and Off-Peak Hours: On-peak hours are defined as the hours of 9:01 A.M. through 10:00 P.M., E.D.T. (8:01 A.M. through 9:00 P.M., E.S.T.), Mondays through Fridays exclusive of holidays (under 1 above), and the hours of 7:01 A.M. through 10:00 P.M., E.D.T. (6:01 A.M. through 9:00 P.M., E.S.T.), Mondays through Fridays exclusive of holidays (under 2 above). Off-peak hours are defined as all hours other than those listed as on-peak (under 3 above). Company reserves the right to change the hours designated as on-peak from time to time as conditions indicate to be appropriate.

RATE B: Non-Time-Differentiated Rate

For all KWH purchased by Company, 1.232 cents per KWH.

SELECTION OF RATE AND METERING

Subject to provisions hereafter in this Section relative to payment of costs of metering equipment, either Seller or Company may select Rate A, the Time-Differentiated Rate, for application to Company's said purchases of energy from Seller. If neither Seller nor Company selects Rate A, then Rate B, the Non-Time-Differentiated Rate, shall apply.

If neither Seller nor Company selects Rate A, and Rate B therefore is to apply to such purchases, Company, at Seller's cost, will install, own and operate a non-time-differentiated meter and associated equipment at a location selected by Company, measuring energy, produced by Seller's generator, flowing into Company's system. Such meter will be tested at intervals prescribed by Commission Regulation, with Seller having a right to witness all such tests; and Seller will pay to Company its fixed cost on such meter and equipment, expense of such periodic tests of the meter and any other expenses (all such costs and expenses, together, being hereafter called "costs of non-time-differentiated metering").

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R. M. Hewett, Group Executive
Lexington, Kentucky

ELECTRIC RATE SCHEDULE

ES

Environmental Surcharge

- 1) Billings computed pursuant to rate schedules to which this Environmental Surcharge is applicable shall be increased or decreased during each month by the following Environmental Surcharge Factor:

$$\text{Environmental Surcharge Factor} = \frac{E(m)}{R(m)}$$

Where "E(m)" is the gross revenue requirement of environmental compliance costs for the current expense month, and "R(m)" is the revenue for the current expense month as set forth below.

- (2) The revenue requirement of environmental compliance costs ("E") shall be the actual recorded costs for the current expense month determined as follows:

$$E(m) = (RM/12) [ROR + (ROR-DR) (TR/(1 - TR))] + PCOE - BAS$$

Where:

E(m) = Environmental Surcharge Gross Revenue Requirement.

RB = Environmental Compliance Rate Base.

ROR = Rate of Return on Environmental Compliance Rate Base.

DR = Pollution Control Bond Rate (Debt Rate).

TR = Composite Federal and State Income Tax Rate.

PCOE = Pollution Control Operating Expenses:

Includes Incremental O&M Expenses (+/-) depreciation and amortization expense, property taxes, insurance expense, emission allowance expense, and surcharge consultant fee.

BAS = Gross Proceeds from By-Products and Allowance Sales

- (3) Revenue "R(m)" is the average monthly revenue, including base and fuel adjustment revenues, for the Company for the 12 months ending with the current expense month.
- (4) Current expense month "m" shall be the second month preceding the month in which the Environmental Surcharge is billed.
- (5) This rate schedule shall apply to Kentucky Utilities Company Electric Rate Schedules RS, FERS, GS, CWH, 33, AES, LP, LCI-TOD, HLF, MP, LMP-TOD, M ST. LT., DEC. ST. LT., P.O.LT., C.O.LT., SEASONAL/TEMPORARY SERVICE RIDER, and WESTVACO.



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R. M. Hewett, Group Executive
Lexington, Kentucky

ELECTRIC RATE SCHEDULE

ESM

Earnings Sharing Mechanism

JUN 01 2000

APPLICABLE

In all territory served by the Company.

FURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan O. Bey
SECRETARY OF THE COMMISSION

AVAILABILITY OF SERVICE

To all Kentucky Utilities Company Electric Rate Schedules excluding the Curtailable Service Rider and Floodwall Pumping.

RATE

The monthly billing amount computed under each of the rate schedules to which this mechanism is applicable, including the Fuel Adjustment Clause, the Environmental Surcharge, and the Merger Surcredit Rider, shall be adjusted by a percentage factor which shall be calculated in accordance with the following formula:

$$\text{Earning Sharing Mechanism Factor} \quad \text{ESMF} = (\text{RA} + \text{BA}) / \text{AR}$$

Where:

(RA) is the Kentucky retail jurisdictional **Revenue Adjustment** from the Current Reporting Period that is equal to 40% of any revenue surplus or deficit outside the deadband established by the Commission's Orders in Case No. 98-474.

(BA) is the **Balancing Adjustment** which reconciles any over- or under-collection of the RA from the prior adjustment year.

(AR) is the **Actual Revenue** of the Kentucky retail jurisdictional sales for Current Reporting Year.

DEFINITIONS:

- (1) **Current Reporting Period** shall be a calendar year.
- (2) **Current Adjustment Year** shall be the twelve months beginning with the first April billing cycle following the Current Reporting Period.



TERMS AND CONDITIONS

- (1) The Earnings Sharing Mechanism will
 - a) exclude all Kentucky jurisdictional revenues and expenses associated with the Kentucky Retail Fuel Adjustment Clause and the Environmental Surcharge.
 - b) Contain a threshold of 11.5% return on equity with a symmetrical deadband of 100 basis points above and below the threshold, and
 - c) Share any revenue surplus or deficit outside of the deadband, 60% shareholders and 40% customers.
- (2) The annual Earnings Sharing Mechanism filing will be made on the 1st of March following a Current Reporting Period. The first Current Reporting Period subject to this tariff shall be the calendar year 2000. The Earnings Sharing Mechanism filing will contain
 - a) the calculation of the adjusted jurisdictional revenues, expenses and net operating income. These calculations will recognize the effect of the Commission's final order in Case No. 98-474. Revenues will be adjusted for off-system sales. Filings would recognize adjustments to reflect the shareholders' portion of KU's merger savings and the elimination of advertising expenses pursuant to KAR 807 5:016.

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ELECTRIC RATE SCHEDULE

ESM

Earnings Sharing Mechanism (Continued)

N

- b) the calculation of the adjusted jurisdictional capitalization, capital structure, and the cost rates for debt and preferred stock.
 - c) the calculation of the rate of return on common equity reflecting the adjusted jurisdictional net operating income, the adjusted jurisdictional capitalization, adjusted capital structure, and the Current Reporting Period end of period cost rates for debt and preferred stock.
 - d) the calculation of the revenue requirement for the Current Reporting Period based on the upper and lower points of the deadband. This calculation will reflect the adjusted financial data described above.
 - e) a comparison of the adjusted net operating income to the upper and lower point revenue requirements, a calculation of the amount of sharing with or collection from customers (Revenue Adjustment), and a determination of the Earnings Sharing Mechanism Factor to be applied to customers' bills. The Revenue Adjustment used to compute the Earning Sharing Mechanism Factor will be applied in the first April billing cycle following the Current Reporting Period, if applicable.
- (3) The Balancing Adjustment will be used to compute the Earning Sharing Mechanism Factor to be applied in the second through twelfth months of the Current Adjustment Year. This calculation will be filed ten days prior to the first billing cycle of the second month of the Current Adjustment Year.



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Lexington, Kentucky

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DSMRM

Demand-Side Management Cost Recovery Mechanism (Continued)

APPLICABLE TO:

Residential Service Rate RS, Full Electric Residential Rate FERS, General Service Rate GS, Light & Power Rate LP, Large Commercial & Industrial Time-of-Day Rate LCI-TOD, and High Load Factor Rate HLF.

DSM Cost Recovery Component (DSMRC):

<u>Residential Service Rate RS</u>	<u>Energy Charge</u>
DSM Cost Recovery Component (DCR):	0.056 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.001 ¢/Kwh
DSM Incentive (DSMI):	0.001 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh
 DSMRC Rate RS:	 0.058 ¢/Kwh

Full Electric Residential Rate FERS

DSM Cost Recovery Component (DCR):	0.033 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.001 ¢/Kwh
DSM Incentive (DSMI):	0.001 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh

DSMRC Rate FERS:

0.035 ¢/Kwh

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DSMRM

Demand-Side Management Cost Recovery Mechanism (Continued)

APPLICABLE TO:

Residential Service Rate RS, Full Electric Residential Rate FERS, General Service Rate GS, Light & Power Rate LP, Large Commercial & Industrial Time-of-Day Rate LCI-TOD, and High Load Factor Rate HLF.

DSM Cost Recovery Component (DSMRC):

<u>Residential Service Rate RS</u>	<u>Energy Charge</u>
DSM Cost Recovery Component (DCR):	0.033 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh
DSM Incentive (DSMI):	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh
 DSMRC Rate RS:	 0.033 ¢/Kwh

<u>Full Electric Residential Rate FERS</u>	
DSM Cost Recovery Component (DCR):	0.023 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh
DSM Incentive (DSMI):	0.001 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh
 DSMRC Rate FERS:	 0.024 ¢/Kwh

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DSMRM

Demand-Side Management Cost Recovery Mechanism (Continued)

DSM Cost Recovery Component (DSMRC):
(Continued)

General Service Rate GS

DSM Cost Recovery Component (DCR):	0.024 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.005 ¢/Kwh
DSM Incentive (DSMI):	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh
DSMRC Rate GS:	0.029 ¢/Kwh

Light & Power Rate LP

Energy Charge

DSM Cost Recovery Component (DCR):	0.000 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh
DSM Incentive (DSMI):	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh
DSMRC Rate LP:	0.000 ¢/Kwh

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N

DSMRM

Demand-Side Management Cost Recovery Mechanism (Continued)

DSM Cost Recovery Component (DSMRC):
(Continued)

General Service Rate GS

DSM Cost Recovery Component (DCR):	0.010 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh
DSM Incentive (DSMI):	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh
 DSMRC Rate GS:	 0.010 ¢/Kwh

Light & Power Rate LP

DSM Cost Recovery Component (DCR):	0.000 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh
DSM Incentive (DSMI):	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh
 DSMRC Rate LP:	 0.000 ¢/Kwh

Energy Charge

DSM Cost Recovery Component (DCR):	0.000 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh
DSM Incentive (DSMI):	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh

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Michael S. Beer
Michael S. Beer, Vice President

May 11, 2001

C/102

RULES AND REGULATIONS OR TERMS AND CONDITIONS

Applicable to All Classes of Electric Service

DISCONTINUANCE OF SERVICE

The Company is authorized to refuse or discontinue service to any Applicant or customer for (a) noncompliance with these Rules and Regulations, (b) for refusing or neglecting to provide reasonable access to the premises, (c) when the Applicant is indebted to the Company for service, (d) for noncompliance with any applicable state, municipal, or other code, rule or regulation, (e) for nonpayment of bills, or (f) for fraudulent or illegal use of service. The Company shall discontinue service when a dangerous condition is found to exist on the customer's premises. Service shall be so refused or discontinued in accordance with the provisions of Kentucky Public Service Commission Regulation 807 KAR 5:006 Sections 14 and 15 (as may be modified or replaced by any regulation hereafter adopted governing discontinuance of service), which is hereby incorporated herein as a part of these Rules and Regulations. A copy of such Commission Regulation shall be furnished to any Applicant or customer upon request. When service has been discontinued for any of the reasons stated above, service shall not be restored until the Company has been paid in full for the cost of service rendered (which may be estimated by the Company if actual usage cannot be determined) and reimbursed for the estimated cost to the Company incurred by reason of the discontinuance, and if service is restored, for re-connection. For any customer whose service has been discontinued for nonpayment of bills, \$10.50 shall be charged for reconnecting service during regular scheduled working hours and \$38.00 for reconnecting service during other than regular scheduled working hours.

When service has been discontinued for any of the above reasons, the Company shall not be responsible for any damage that may result therefrom.

Discontinuance or refusal of service shall be in addition to, and not in lieu of, any other rights or remedies available to the Company.

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R. M. Hewett
R. M. Hewett, Group Executive
Lexington, Kentucky

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